

FORT Global UCITS Funds plc (the "Company")

Application Form

Please ensure you read the prospectus for the Company and the most recent relevant supplement as may be amended from time to time (the "**Prospectus**") and the key investor information document(s) that relate to the Share Class(es) for which you are applying (the "**KIID(s)**") before completing this application form. Unless the context otherwise requires, all capitalised terms shall have the same meanings as in the Company's Prospectus.

All applicants should complete ALL sections in full and sign the necessary declarations and representations in Section 6. Please contact the Administrator if you are resident or ordinarily resident in Ireland.

Complete this Application Form using BLOCK CAPITALS.

Applicants for Shares must send their completed irrevocable Application Form together with supporting documentation required in connection with anti-money laundering and terrorist financing procedures detailed in Section 5 by fax. The original executed version of this Application Form and the original anti-money laundering documentation (or "know your customer" ("**KYC**") documentation to follow immediately by post) to the address below:

FORT Global UCITS Funds plc
c/o RBC Investor Services Ireland Limited
Georges Quay House
43 Townsend Street
Dublin 2
Ireland

Fax: +353 1 613 0401

Telephone: +353 1 440 6555 - Telephone calls may be recorded.

Investors are not obliged to deal electronically and applications for subscriptions and redemptions may be sent to the address outlined above.

Investors may submit applications, redemption requests, exchange requests or transfer requests (each a "dealing request") to the Administrator via fax in order to meet dealing deadlines as outlined in the relevant supplement, with the originals to follow immediately by post as outlined above. The Administrator will only accept dealing requests sent to the fax number and/or postal address set out above. Any dealing request sent to any other fax number or postal address will not be accepted and the Administrator is not required to process any such dealing requests. Neither the Company nor the Administrator shall have any responsibility or liability whatsoever to any investor for any purported dealing request sent to any other address.

In no event shall redemption proceeds be paid until the original Application Form for the initial subscription has been received from the investor and all of the necessary anti-money laundering and KYC checks have been carried out. In the event that a payment is withheld or delayed for the aforementioned reasons neither the Company nor the Administrator shall be liable. Payment will only be made to an account in the name of the Shareholder. No third party payments will be made.

1 Registration Details

Please complete section (a) for individual investors/ individual trustees; (b) for corporate investors or corporate trustees; or (c) for intermediary/agent/nominee.

PO Box or C/O addresses will not be accepted.

(a) Individual investors/ individual trustees

Title:	Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="checkbox"/>
Surname:					
Forename(s) in full:					
House number/name:					

Street:	
City/Town:	
Post Code:	
Country:	
Email Address:	
Telephone No:	
Fax No:	
Nationality:	
Date of Birth:	
For trusts/estates please indicate name here:	

Investments may be registered in the names of up to four joint holders. If there are more than two joint holders please provide the additional details on a separate sheet. Please note that all joint holders must sign the application form. Correspondence will be sent to the first named holder only.

Joint Applicant

Title:	Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="checkbox"/>
Surname:					
Forename(s) in full:					
House number/name:					
Street:					
City/Town:					
Post Code:					
Country:					
Email Address:					
Telephone No:					
Fax No:					
Nationality:					
Date of Birth:					
For trusts/estates please indicate name here:					

(b) Corporate shareholders or corporate trustee

Place of Incorporation:	
Full Title of body corporate/corporate trustee:	
Account designation(s) (if applicable):	
House number/name:	
Street:	
City/Town:	
Post Code:	
Country:	
Email Address:	
Telephone No:	
Fax No:	
For trusts/estates please indicate name here:	
Mailing contact name:	
Mailing address:	
Postcode:	
Email address:	
Telephone No:	
Fax No:	

(c) Intermediary/Agent/Nominee

If the shareholding is registered in a nominee name, please print clearly the name of the underlying client. If this section is not complete then any negotiated terms may not be applied:

Client Name:	
Correspondence Address if different to above):	
Postcode:	
Country:	
Telephone No:	
Fax No:	

2 Investment Details

I/We hereby apply to invest in the Company, as indicated in the table below:

Share class/	ISIN	Number of	Amount (in words)	Current Minimum

Currency*		Shares		Initial Investment Amount**
FORT Global UCITS Contrarian Fund				
A EUR	IE00BCZRQR87			€100,000***
A USD	IE00BCZRQS94			\$100,000***
B EUR	IE00BCZRQT02			Closed***
B USD	IE00BSHZ0Q92			Closed***
C EUR	IE00BYJR3D38			€2,000,000***
C USD	IE00BYJR3F51			\$2,000,000***
I EUR	IE00BYJR3H75			€100,000,000
I USD	IE00BYJR3G68			\$100,000,000
R EUR	IE00BCZRQV24			€10,000
FORT Global UCITS Diversified Fund				
A EUR	IE00BXDZF297			€100,000***
A USD	IE00BXDZF305			\$100,000***
B EUR	IE00BXDZF412			€100,000***
B USD	IE00BXDZF529			\$100,000***
C EUR	IE00BYPGJ705			€2,000,000***
C USD	IE00BYPGK224			\$2,000,000***
I EUR	IE00BXDZF743			€100,000,000
I USD	IE00BXDZF859			\$100,000,000
R EUR	IE00BXDZF636			€10,000

*Subscription monies for the Shares of each Class of the Company should be remitted in the designated currency of the relevant Class, unless otherwise agreed with the Administrator.

**Subject to the discretion of the Directors (or their delegate) in each case to allow lesser amounts.

***Class B Shares will be closed to new investors once the Net Asset Value of the FORT Global UCITS Diversified Fund exceeds €100,000,000, and will be closed to existing investors in the Class one year after. The Class B Shares for the FORT Global UCITS Contrarian Fund are now closed to new investors but remain open to existing investors until May 27, 2016. For both Funds, once the Net Asset Value exceeds €350,000,000, the minimum investment for Class C Shares will increase to €50,000,000 (or \$50,000,000) and the minimum investment for Class A Shares will increase to €2,000,000 (or \$2,000,000) but existing investors in each Class will be able to continue to add to their investment for one year following, even if they remain below the Minimum Initial Investment Amount during that time. Following the end of the year period, investors below the minimum investment amount will not be able to add to their investment in that Class unless with the addition they reach the minimum investment amount.

It is not intended to distribute dividends to Shareholders. The income and earnings and gains of the relevant sub-fund of the Company will be accumulated and reinvested on behalf of Shareholders.

3 Subscription Details

All payments must be made by telegraphic transfer to the relevant account(s) detailed below for settlement 3 Business Days after the relevant Subscription Day. Settlement must be made in the designated currency of the Class in which you are investing unless otherwise agreed with the Administrator.

For Subscriptions in Euro Share Classes	
Intermediary Bank:	Bank of America Dublin**
SWIFT:	BOFAIE3X**
Beneficiary Bank:	RBC Investor Services Bank SA Luxembourg
SWIFT:	FETALULL
Final Beneficiary:	FORT GLOBAL UCITS FUNDS P.L.C. COLL
IBAN number:	LU193416820036554900
Ref:	Investor Name

**NOTE for EUR Payments: In order to benefit as much as possible from local clearing, it is recommended that you route your payment via one of the following of our Bank of America EUR correspondent banks:

Code Swift	Bank Name
BOFAGB22	Bank of America London
BOFAFRPP	Bank of America Paris
BOFADEFX	Bank of America Frankfurt
BOFAIT2X	Bank of America Milan
BOFAES2X	Bank of America Madrid
BOFABE3X	Bank of America Antwerp
BOFAIE3X	Bank of America Dublin
BOFANLNX	Bank of America Amsterdam

For Subscriptions in U.S. Dollar Share Classes	
Intermediary Bank:	Bank of America Dublin**
SWIFT:	BOFAUS3N
Beneficiary Bank:	RBC Investor Services Bank SA Luxembourg
SWIFT:	FETALULL
Final Beneficiary:	FORT GLOBAL UCITS FUNDS P.L.C. COLL
IBAN number:	LU133418820036558400
Ref:	Investor Name

4 Investor Bank Account Details

Please state the details of the account to which redemption proceeds should be paid. Payments will only be made to a bank account held in the name of the registered investor. No third party payments will be made.

Amendments to investors' payment instructions will only be effected upon receipt of fax or email instruction from an authorised signatory. In the case of joint applicants, instructions will only be made upon receipt of instruction signed by all applicants.

Name of Bank:	
Address of Bank:	
Country:	
Bank SWIFT/Sort code:	

Name of Account Holder:	
Account Number:	
Applicant's signature:	

Intermediary/Agent/Nominee

If the shareholding is registered in a nominee name, please print clearly the name of the underlying client. If this section is not complete then any negotiated terms may not be applied:

Name of Bank:	
Address of Bank:	
Country:	
Bank SWIFT/Sort code:	
Name of Account Holder:	
Account Number:	
Applicant's signature:	

5 Anti-Money Laundering , Countering Terrorist Financing & Financial Sanctions Procedures

Note: Under the legislative regime in Ireland for the prevention of money laundering and terrorist financing, it is part of the responsibilities of the Fund to have in place requisite systems to prevent money laundering, terrorist financing and breaches of financial sanctions. The carrying out of customer due diligence ("**CDD**") is required under the Irish Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 (as amended by the Criminal Justice Act 2013) (the "**Act**") which includes:

- (i) identification and verification of the identity of each investor and/or each beneficial owner (in the case of an investor investing on a nominee basis) based on a risk based approach;
- (ii) verification of the identity of the source of the subscription payment;
- (iii) obtaining information on the purpose and nature of the business relationship; and
- (iv) ongoing monitoring of the business relationship.

The particular requirements for verification of each investor's identity will be determined by the jurisdiction where the Administrator or its delegate is located and as such the Administrator is located in Ireland. Depending on its risk assessment of an investor and in accordance with the Act, the Administrator reserves the right to request additional documentation as may be required from time to time. Enhanced due diligence is required in circumstances where a higher risk of money laundering and/or terrorist financing becomes apparent following a risk based approach, including where an investor is, or becomes during the course of the business relationship a non-resident politically exposed person ("**PEP**") as defined by the Act.

Further the Company prohibits the investment of funds by any persons or entities that are acting, directly or indirectly, (i) in contravention of any applicable laws and regulations, including anti-money laundering regulations or conventions, (ii) on behalf of terrorists or terrorist organisations, including those persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the US Treasury Department's Office of Foreign Assets Control ("OFAC"), as such list may be amended from time to time or named on the list of prohibited countries, territories, entities and individuals in the Official Journal of the European Communities.

To comply with the Fund's anti-money-laundering procedures, the investor must fulfil one of the three options listed below. Please indicate which option the investor is fulfilling by checking the applicable box.

- Option 1:** The investor is introduced by (or the subscription is made through) a relevant third party within the meaning of the Act and that relevant third party provides written assurance to the Fund and/or the Administrator that it has sufficiently carried out CDD on the investor in line with the relevant provisions of the Act. The assurance must note that the relevant third party is aware of the reliance placed upon it and will forward to the Fund and/or the Administrator, as soon as practicable after a request, any documents or information relating to the customer that has been obtained by the relevant third party in applying CDD. The assurance must not contain any clause which may result in the disclosure of such documentation or information being dependent on permission being granted by a party other than the relevant third party, including but not limited to any banking secrecy provisions.
- Option 2:** The investor is a 'Specified Customer' as defined by section 34(5) of the Act and subject to section 34(3) of the Act. Please provide evidence that you fall within one of the categories of specified customer.
- Option 3:** The investor provides the following documentation (as is relevant to their status) with this Subscription Agreement.

Individual investors:

A certified/notarised copy of a current government issued form of picture identification (e.g. a valid passport, national identity card or driver's license), showing a clear photo, legible signature, number and country of issuance, issue and expiration dates, full name and date of birth.

- (i) One independent proof of current residential address as below:
- (A) Original or certified copy of utility bill (not more than three months old); and
 - (B) Original or certified copy of bank statement or bank reference (not more than six months old).
- (ii) Confirmation of the investors source of wealth and source of funds.

Entity investors:

- (i) A copy of the investor's certificate of incorporation/formation/registration (as applicable) or a copy of the investor's memorandum and articles of association/bylaws/ partnership agreement/LLC agreement/trust deed (as applicable).
- (iii) Details of the nature of the investor's business. In the case of a trust, details of the general nature/purpose of the trust and of the source of funds of the trust, including the name of the settlor and how the settled funds were generated.
- (iv) A certified/notarised copy of the board/trustee resolution (or equivalent) authorising the investment and conferring authority on the authorised signatories on the investment account and an original or certified copy of a list of authorised signatories.
- (v) A copy of the register/list of directors/general partners/managing members/trustees (as applicable) or certificate of incumbency.
- (vi) A certified/notarised copy of identification evidence for two of the directors (or one director and one authorised signatory)/general partners/managing members/trustees (as applicable) of the investor.

- (vii) A copy of the register/list of beneficial owners of the investor with percentage ownership or number of shares held, including identification of any beneficial owners holding an interest of 25% or more, up to the ultimate beneficial owner.
- (viii) A copy of identification evidence for any beneficial owners of the investor, being any person or entity holding an interest of 25% or more, (OR for trusts only, a list of beneficiaries with an interest of 25% or more (including addresses)) up to and including the ultimate beneficial owner.

Please note that the Fund and/or Administrator may request further documentation/information from the investor in line with its requirements under the Act.

The signatory undertakes that they will promptly notify the Administrator in writing of any changes from time to time to the business activities, directors, officers, shareholders, partners, trustees, settlors or other controllers.

IMPORTANT: PLEASE NOTE THE FOLLOWING

- **All certified/notarised documents must bear the name, position, capacity and original stamp/signature of the certifier/notary public, together with a contact address and/or phone number. Photocopies or facsimile copies of certified/notarised documents are not acceptable.**
- **Each document should be marked with the word “original seen” or “true copy of original document”.**
- **Suitable certifiers include persons such as a member of the Garda Síochána, notary public, solicitor, practicing chartered and certified public accountant, embassy consular staff, justice of the peace, commissioner for oaths, a director or manager of a Designated Person in Ireland.**
- **Where documents are not in English, a notarised translation is required.**

The Administrator reserves the right to request such additional information as is necessary to verify the identity of the investor during the time of the investment in the fund. The Company may refuse to accept any application to subscribe if a prospective investor delays in producing or fails to produce any information required for the purpose of verification as requested by the Administrator and, in that event, any funds received will be returned (subject to the provisions of the Act) without interest to the account from which the moneys were originally debited. The Company and the Administrator shall not be held liable in this regard.

6 Declarations, Representations and Signatures

By signing below:

- 1 I/We confirm that I/we am/are 18 years of age or over and have the full right, power and authority to make the investment pursuant to this application form whether this investment is my/our own name or is made on behalf of another person or institution.
- 2 We declare that the KIID(s) have been provided to us in good time prior to making the application for Shares and that we have read and reviewed and understood the nature and the risks of the investments product that is being offered to us. We hereby acknowledge and agree that the updated KIID(s) for each Share class are available at the Investment Manager's website at www.fortlp.com and that we will read and review the most up-to-date version of the relevant KIID(s) prior to making any subsequent application for Shares in the relevant sub-fund.
- 3 I/We hereby acknowledge that I/we have been offered the current Prospectus, KIID(s) and Memorandum and Articles of Association of the Company as may be amended from time to time and where applicable the most recent annual report or half-yearly report of the Company. Furthermore I/we hereby acknowledge that this application is based solely on the information contained in such documentation and that I/we am/are bound by the terms of the Prospectus and Memorandum and Articles of Association of the Company. I am/We are aware that copies of the Prospectus, KIID(s), latest annual and semi-annual reports and other information may be

obtained from the registered office of the Company. I/We give full consent to receiving this information and the Prospectus, latest annual and semi-annual reports and KIID(s) by means of electronic communication.

- 4 Where we are investing as nominee, we shall provide our client(s) with the KIID(s) issued in respect of the relevant Share class and we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. We shall maintain records of our provision of the KIID(s) to our clients and shall furnish such records to the Company, or its delegates, upon request.
- 5 I/We hereby represent and declare that I/we am/are fully informed as to: (i) the legal requirements within my/our country for the purchase of Shares and are permitted to purchase the Shares under the laws and regulations of my/our home country in the manner in which the Shares have been offered and sold to me/us; (ii) any foreign exchange restrictions applicable to me/us; and (iii) any relevant tax considerations relating to me/us arising out of my/our purchase and ownership of Shares.
- 6 I/We have such knowledge and experience in business and financial matters that I/we am/are capable of evaluating the merits and risks of an investment by me/us in the Shares and have considered the risk factors as set out in the Prospectus.
- 7 I/We have made arrangements for payment to be made to the relevant bank account(s) specified above for subscriptions.
- 8 I/We acknowledge that this application form constitutes the agreement under which the I/we agrees to subscribe for Shares in the Company and shall be governed by the laws of Ireland. In the event of any dispute or claim arising under this agreement or the Prospectus, or relating to his Shares in the Company I/we submit to the exclusive jurisdiction of the Irish Courts.
- 9 I/We acknowledge that the Company reserves the right to reject any application in whole or part without assigning any reason therefore.
- 10 I/We also warrant and declare that the monies being invested pursuant to this application do not represent directly or indirectly the proceeds of any criminal activity and the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.
- 11 I/We acknowledge that the Company or the Administrator also reserves the right to delay or refuse to make any redemption payment or distribution to a Shareholder without notice if any of the Directors or the Administrator suspects or is advised that the payment of any redemption or distribution moneys to such Shareholder might result in a breach or violation of any applicable anti-money laundering or other laws or regulations by any person in any relevant jurisdiction, or such refusal is considered necessary or appropriate to ensure the compliance by the Company, its Directors or the Administrator with any such laws or regulations in any relevant jurisdiction. I/We hereby hold the Company and the Administrator harmless and indemnify them against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by me/us.
- 12 I/We acknowledge that the Company reserves the right to cancel without notice any contract for which payment has not been received by the relevant settlement date and to recover any losses incurred.
- 13 If any of the foregoing representations, warranties or covenants ceases to be true or if the Company no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Company may be obligated to freeze my/our investment, either by prohibiting additional investments, investment may immediately be redeemed by the Company, and the Company may also be required to report such action and to disclose my/our identity to OFAC or other authority. In the event that the Company is required to take any of the foregoing actions, I/we understand and agree that I/we shall have no claim against the Company, the Investment Manager, the Administrator, and their respective affiliates, directors, members, partners, shareholders, officers, employees and agents for any form of damages as a result of any of the aforementioned actions.

- 14 I/We understand and agree that any redemption proceeds paid to me/us will only be paid to a bank account in my/our name and with a recognised financial institution.
- 15 I/We agree to indemnify and hold harmless the Company, the Investment Manager, the Administrator, the Custodian, each of their respective affiliates, directors, members, partners, shareholders, officers, employees and agents and each other person, if any, who controls, is controlled by, or is under common control with, any of the foregoing, from and against any and all losses, liabilities, claims, damages, penalties, costs, fees and expenses (including without limitation all expenses reasonably incurred in investigating, preparing or defending against any claim whatsoever) which may result, directly or indirectly, from (i) any inaccuracy in or breach of any representation, warranty, covenant or agreement set forth in this Application Form or in any other document delivered by me/us to any of the foregoing in connection with this transaction; (ii) any failure by me/us to pay subscription monies to the Company within the settlement period set out in this Application Form and the relevant Supplement; or (iii) any action for securities law violations instituted by me/us which is finally resolved by judgment against me/us.
- 16 I/we agree that in order to allow the Company comply with the rules of Sections 1471 through 1474 (inclusive) of the Internal Revenue Code of 1986 of the US, as amended, any current or future regulations or official interpretations thereof, any agreement entered into thereunder, or any fiscal or regulatory legislation, rules or practices adopted pursuant to any intergovernmental agreement entered into in connection with the implementation thereof ("**FATCA**") or the OECD Common Reporting Standard ("**CRS**"), I/we will:

(a) Provide the Company (or any nominated service provider) with any information necessary for FATCA or CRS reporting including completing the appropriate Self-Certification forms contained in this Application Form; and

(b) Permit the Company to:

(i) Share such information with the US Internal Revenue Service, Irish Revenue or other relevant tax or other government authority as required by FATCA or CRS;

(ii) Compel or effect the sale of the Shares if it fails to comply with the foregoing requirement; and

(iii) Make any other amendments to any other documents entered into in connection with the subscription for Shares as may be necessary to enable the Company to comply with FATCA or CRS.

17 **Designated Bodies*** only**

I/We declare that I am/we are licensed as..... (description) by the (regulatory body) under the laws of..... and am/are thereby subject to regulations and/or guidelines which to the best of my/our knowledge and understanding are in accordance with the Financial Action Task Force Recommendations ("FATF") on the prevention of money-laundering. We attach independent verification of our licensed status. This application is made in my/our name on behalf of my/our clients whose identity has been properly verified by me/us in accordance with the guidelines. Evidence of such verification will be retained for such period as is required by the country of our domicile and will be promptly supplied to the Administrator or the Company upon request. We confirm that we have adopted measures to prevent and detect the commission of an offence of financing terrorism and that all employees, directors and other officers have received the appropriate level of training to ensure these measures are applied. Furthermore, should our licence or registration as noted above be revoked or altered at any future time or if the situation with regard to any of the clients introduced has changed, we undertake to advise you immediately. We further confirm we will provide the Administrator with a letter of assurance in connection with these matters in a form acceptable to the Administrator, if requested.

A "Designated Body" is an individual or other entity which is regulated in respect of the provision of banking or investment services in a country which is a member of the European Union or the Financial Action Task Force..

- 18 I/We shall provide the Company with any additional information which it may reasonably request in connection with tax or other similar requirements in order to substantiate any representations

made by me/us or otherwise and I/we authorise the Company or its agents to disclose such information relating to this application to such persons as they consider appropriate.

- 19 I/We declare that I/we: (i) am/are not a U.S. Person (as defined in Exhibit 1 attached hereto); (ii) have not been solicited to purchase and have not or will not acquire Shares while present in the United States; (iii) am/are not purchasing Shares as a nominee of a U.S. Person and am/are not acting on behalf of nor do I/we intend to transfer any shares to any U.S. Person(s); (iv) am/are not acquiring Shares for the benefit of a U.S. Person and will not at any time hold Shares, directly or indirectly, for the account or benefit of any U.S. Person; (v) am/are not to transfer any Shares or any interest therein to a U.S. Person and will not transfer any Shares to a U.S. Person; (vi) am/are not using funds that have been obtained from a U.S. Person to effect the purchase of Shares; and (vi) will immediately notify the Company if I/we should at any time become a U.S. Person. I/We agree to substantiate the foregoing representation upon request.
- 20 The Company and the Administrator are hereby authorised to accept and execute any instructions in respect of the Shares to which this application relates or which may in future be acquired by me/us which are given by me/us in written form or by facsimile and in the case of joint account holders which are given by such means jointly by the applicants ("**Instructions**"). I/We hereby agree to indemnify each of the Company, and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon Instructions. The Company and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed or given by properly authorised persons.
- 21 I/We agree to provide to the Company and the Administrator at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require in connection with this investment. Should any information furnished to any of them become inaccurate or incomplete in any way, I/We hereby agree to notify the Company or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect which such confirmations have become incomplete or inaccurate where requested to do so by the Company.
- 22 I/We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases of Shares by me/us in the Company.
- 23 (In respect of joint applicants only) We direct that on the death of one of us the Shares for which we hereby apply to be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last survivor. I/We acknowledge and agree that in the event of the death of one or more of us the Administrator will require further documentation in relation to any proposed change of name or authority to act in respect of the Shares for which we hereby apply including without limitation a copy of the relevant Grant of Probate or copy of a will.
- 24 I/We hereby acknowledge that any notice or document may be served by the Company on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if I have provided an e-mail address or fax number to the Company or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or e-mail address previously identified to the Company or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/we acknowledge that I/we are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by fax or electronically by notifying the Company in writing at the above address provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Company of written notice of such revocation.
- 25 We confirm that the persons listed on the attached authorised signatories list and whose specimen signatures appear on that list are duly authorised to give Instructions with respect to Shares held by us in the Company. (For corporate applicants only.)
- 26 **Data Protection/Information about other investment services**
Your personal information will be handled by the Administrator (as Data Processor on behalf of the Company) in accordance with the Irish Data Protection Acts 1988 to 2003. Your information will be processed for the purposes of carrying out the services of Administrator, registrar, and

transfer agent of the Company and to comply with legal obligations including legal obligations under company law and anti-money laundering legislation. The Administrator or Company will disclose your information to third parties where necessary or for legitimate business interests. This may include disclosure to third parties such as auditors, the Irish Revenue Authorities and the Central Bank or agents of the Administrator who process the data for anti-money laundering purposes or for compliance with foreign regulatory requirements. The applicant hereby consents to the processing of his/her information, which may include the recording of telephone calls with the Administrator for the purpose of confirming data, and the disclosure of his/her information as outlined above and to the Investment Manager and where necessary or in the Company's or the Administrator's legitimate interests to any company in the Administrator's and/or the Investment Manager's group of companies or agents of the Administrator including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Ireland.

27 Declarations of Residence Outside Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

DECLARATION ON OWN BEHALF
<p>I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that</p> <ul style="list-style-type: none"> • I am/we are/the company is* not currently Resident or Ordinarily Resident in Ireland, and • should I/we/the company* become Resident in Ireland I will/we will* so inform you, in writing, accordingly.
DECLARATION AS INTERMEDIARY
<p>I/we* declare that I am/we are* applying for Shares on behalf of persons:</p> <ul style="list-style-type: none"> • who will be beneficially entitled to the Shares; and, • who, to the best of my/our* knowledge and belief, are neither Resident nor Ordinarily Resident in Ireland. <p>I/we* also declare that:</p> <ul style="list-style-type: none"> • unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and, • I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds Shares, becomes Resident in Ireland. <p><i>*Delete as appropriate</i></p>

Important Notes

Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.

To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign.

If the applicant is a company, it must be signed by the company secretary or another authorised officer. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

If the Applicant is an Exempt Irish Investor it should contact the Shareholder Services Agent who will

provide it with the appropriate declaration which must be made to confirm its status.

Declaration of Residence Outside Ireland – Definitions

Intermediary

An “Intermediary” means a person who

- carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in the State on behalf of other persons; or
- holds units in an investment undertaking on behalf of other persons.

Residence in Ireland

(1) Individual

An individual will be regarded as being resident in Ireland for a tax year if s/he:

- a. spends 183 days or more in the State in that tax year; or
- b. has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year

Presence in a tax year by an individual of not more than 30 days in the State will not be reckoned for the purpose of applying the two-year test. Presence in the State for a day means the personal presence of an individual at the end of the day (midnight).

(2) Company

A company which has its central management and control in the Republic of Ireland (the State) is resident in the State irrespective of where it is incorporated. A company which does not have its central management and control in Ireland but which is incorporated in the State is resident in the State except where:

- the company or a related company carries on a trade in the State, and either the company is ultimately controlled by persons resident in EU Member States or in countries with which the State has a double taxation treaty, or the company or a related company are quoted companies on a recognised Stock Exchange in the EU or in a taxation treaty country; or
- the company is regarded as not resident in the State under a double taxation treaty between the State and another country.

It should be noted that the determination of a company’s residence for tax purposes can be complex in certain cases and declarants are referred to the specific legislative provisions which are contained in section 23A Taxes Consolidation Act, 1997.

Ordinary Residence - Individual

The term “ordinary residence” as distinct from “residence” relates to a person’s normal pattern of life and denotes residence in a place with some degree of continuity.

An individual who has been resident in the State for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year.

An individual who has been ordinarily resident in the State ceases to be ordinarily resident at the end of the third consecutive tax year in which s/he is not resident. Thus, an individual who was resident and ordinarily resident in the State in 2009 and departed from the State in that tax year will remain ordinarily resident up to the end of the tax year in 2012

Signed:

Signatory Capacity if applicable (i.e. Director)

7 FATCA and CRS

Investors should complete either the Individual or Entity Self-Certification Form as appropriate.

Self-Certification for FATCA and CRS¹

Instructions for completion

We are obliged pursuant to the Intergovernmental Agreement Between the Government of Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA, Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law. If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below changes in the future, please ensure that we are advised of these changes promptly.
(Mandatory fields are marked with an *)

Entity Self-Certification

Investors that are **individuals** should not complete this form and should complete the form entitled "Individual Self-Certification".

Section 1: Investor Identification

Investor Name*: _____ (the "Entity")

Country of Incorporation or Organisation: _____

Current Registered Address*:

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Section 2: FATCA Declaration Specified U.S. Person:

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity is a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

¹ Investors are not legally obliged to provide information for CRS purposes until 1 January 2016, however, for ease of administration, investors may wish to provide such information voluntarily before that date.

U.S. TIN: _____

Or

b) The Entity is **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

Or

c) The Entity is a US person but **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

Section 3: Entity's FATCA Classification* (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA:

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 3.2

I.	<i>Irish Financial Institution or a Partner Jurisdiction Financial Institution</i>	
II.	<i>Registered Deemed Compliant Foreign Financial Institution</i>	
III.	<i>Participating Foreign Financial Institution</i>	

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)* _____

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	The Entity has not yet obtained a <i>GIIN</i> but is sponsored by another entity which does have a <i>GIIN</i> Please provide the sponsor's name and sponsor's <i>GIIN</i> : Sponsor's Name: _____ Sponsor's <i>GIIN</i> : _____	
II.	<i>Exempt Beneficial Owner</i>	
III.	<i>Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)</i>	
IV.	<i>Non-Participating Foreign Financial Institution</i>	
V.	<i>Excepted Foreign Financial Institution</i>	

3.4 Non-Financial Institutions under FATCA:

If the Entity is not a *Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Foreign Entity</i>	
II.	<i>Passive Non-Financial Foreign Entity</i> (If this box is ticked, please include self-certification forms for each of your <i>Controlling Persons</i>)	
III.	<i>Excepted Non-Financial Foreign Entity</i>	

Section 4: CRS Declaration of Tax Residency (please note that you may choose more than one country)*

Please indicate the Entity's country of tax residency for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")).

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

Section 5: Entity's CRS Classification* (The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):

For more information please see the CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, please tick one of the below categories

I.	<i>Financial Institution under CRS (other than (II) below)</i>	
II.	An <i>Investment Entity</i> located in a <i>Non-Participating Jurisdiction</i> and managed by another <i>Financial Institution</i> (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons **)	

5.2 Non Financial Institutions under CRS:

If the Entity is a *Non Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Entity</i> – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
II.	<i>Active Non-Financial Entity</i> – a Government Entity or Central Bank	
III.	<i>Active Non-Financial Entity</i> – an International Organisation	
IV.	<i>Active Non-Financial Entity</i> – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
V.	<i>Passive Non-Financial Entity</i> (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))	

****Controlling Person's:**

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Section 6: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*:

Print Name(s)*:

Capacity in which declaration is made*:

Date: (dd/mm/yyyy):* _____

Individual (Controlling Person's) Self-Certification

Instructions for completion

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity.

For further guidance see.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

*(Mandatory fields are marked with an *)*

Section 1: Investor Identification

Investor Name*: _____

Current Residential Address*:

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Place Of Birth*

Town or City of Birth*: _____ **Country of Birth*:** _____

Date of Birth*: _____

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes*:

Please tick either (a) or (b) and complete as appropriate.

(a) I confirm that [I am]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

(b) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

Section 3: CRS Declaration of Tax Residency (please note you may chose more than one country)*

Please indicate your/ the investor’s country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers (“TIN”). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Section 4 – Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

<i>Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.</i>	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature*: _____

Print Name*: _____

Date: (dd/mm/yyyy)*: _____ Capacity*:

8 Signatures and Date of Application

1

Applicant (or Authorised Signatory, if applicable):

Capacity of Authorised Signatory, if applicable

2 **First Additional Applicant (or authorised signatory, if applicable)**

Capacity of Authorised Signatory, if applicable

3 **Second Additional Applicant (or authorised signatory, if applicable)**

Capacity of Authorised Signatory, if applicable

4 **Third Additional Applicant (or authorised signatory, if applicable)**

Capacity of Authorised Signatory, if applicable

Date: _____

9 Notes to Assist in Completion

- 1 The application form including the revenue declaration may be disclosed to the Irish Revenue Commissioners.
- 2 To be valid, the application form (incorporating the revenue declarations required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
- 3 If the application form (incorporating the revenue declarations required by the Irish Revenue Commissioners) is signed under power of attorney, a certified copy of the power of attorney must be furnished in support of the signature.
- 4 The Company is regulated in Ireland by the Central Bank of Ireland.
- 5 Copies of the Prospectus and the most recently published annual and semi-annual reports of the Company are freely available from the Administrator.
- 6 A corporation should affix its common seal and have it countersigned by persons authorised to countersign the seal or otherwise should execute under the hand of a duly authorised official or officials who should state his/their representative capacity. A certified copy (certified by a director or secretary of the Company) of the resolution or other authority authorising one or more signatories should be provided with the application form.
- 7 If any of the details provided by the applicant(s) in this application form change during the lifetime of this investment, please advise the Administrator immediately in writing and in original form, in order to avoid any possible settlement delays at some future date.

Exhibit 1

DEFINITION OF "U.S. PERSON"

For purposes of this Application Form and the Prospectus, "U.S. Person" shall mean all of the following:

- 1) A "United States person" as defined in the U.S. Internal Revenue Code of 1986, as amended (the "Code"): (a) a citizen or resident of the United States; or (b) a partnership created or organized in the United States or under the laws of the United States or any state or the District of Columbia; or (c) a corporation created or organized in the United States or under the laws of the United States or any state or the District of Columbia; or (d) an estate (other than a "foreign estate," as that term is defined by the Code); or (e) a trust, with respect to which (1) a court within the United States is able to exercise primary supervision over the administration of the trust and (2) one or more United States fiduciaries have the authority to control all substantial decisions of the trust. The Code defines a "foreign estate" as "an estate the income of which, from sources without the United States which is not effectively connected with the conduct of a trade or business within the United States, is not includible in gross income under the Code."
- 2) A "U.S. person" as defined in Rule 902 under the U.S. Securities Act of 1933, as amended (the "1933 Act"): (a) a natural person resident in the United States, (b) a partnership or corporation organized or incorporated under the laws of the United States, (c) an estate of which any executor or administrator is a U.S. person, (d) a trust of which any trustee is a U.S. person, (e) an agency or branch of a foreign entity located in the United States, (f) a non-discretionary or similar account (other than a trust or estate) held by a dealer or other fiduciary for the benefit or account of a U.S. person, (g) a discretionary account or similar account (other than estate or trust) held by a dealer or other fiduciary organized, incorporated or (if an individual) resident in the United States or (h) a partnership or corporation if: (1) organized or incorporated under the laws of any foreign jurisdiction, and (2) formed by a U.S. person principally for the purpose of investing in securities not registered under the 1933 Act, unless it is organized or incorporated, and owned, by "accredited investors" (as defined in Regulation D under the 1933 Act) who are not natural persons, estates or trusts.
- 3) A person who is not a "Non-United States person" as defined in Section 4.7 under the U.S. Commodity Exchange Act (the "CEA"): (a) a natural person who is not a resident of the United States; (b) a partnership, corporation or other entity, other than an entity organized principally for passive investment, organized under the laws of a foreign jurisdiction and which has its principal place of business in a foreign jurisdiction; (c) an estate or trust, the income of which is not subject to United States income tax regardless of source; (d) an entity organized principally for passive investment such as a pool, investment company or other similar entity; provided, that units of participation in the entity held by persons who do not qualify as Non-United States persons or otherwise as qualified eligible persons represent in the aggregate less than 10% of the beneficial interest in the entity, and that such entity was not formed principally for the purpose of facilitating investment by persons who do not qualify as Non-United States persons in a pool with respect to which the operator is exempt from certain requirements of Part 4 of the Commodity Futures Trading Commission's (the "CFTC") regulations by virtue of its participants being Non-United States persons; and (e) a pension plan for the employees, officers or principals of an entity organized and with its principal place of business outside the United States.
- 4) A "U.S. Person" as defined under the CFTC's "Interpretive Guidance and Policy Statement Regarding Compliance with Certain Swap Regulations," July 26, 2013, 78 Fed. Reg. 45291 (July 26, 2013), which generally includes, but is not limited to : (a) any natural person who is a resident of the United States; (b) any estate of a decedent who was a resident of the United States at the time of death; (c) any corporation, partnership, limited liability company, business or other trust, association, joint-stock company, fund or any form of enterprise similar to any of the foregoing (other than an entity described in prongs (d) or (e), below) (a "legal entity"), in each case that is organized or incorporated under the laws of a state or other jurisdiction in the United States or having its principal place of business in the United States; (d) any pension plan for the employees, officers or principals of a legal entity described in prong (c), unless the pension plan is primarily for foreign employees of such entity; (e) any trust governed by the laws of a state or other jurisdiction in the United States, if a court within the United States is able to exercise primary supervision over the administration of the trust; (f) any commodity pool, pooled account, investment fund, or other collective investment vehicle that is not described in prong (c) and that is majority-owned by one or more persons described in prong (a), (b), (c), (d) or (e), except any commodity pool, pooled

account, investment fund, or other collective investment vehicle that is publicly offered only to non-U.S. persons and not offered to U.S. persons; (g) any legal entity (other than a limited liability company, limited liability partnership or similar entity where all of the owners of the entity have limited liability) that is directly or indirectly majority-owned by one or more persons described in prong (a), (b), (c), (d) or (e) and in which such person(s) bears unlimited responsibility for the obligations and liabilities of the legal entity; and (h) any individual account or joint account (discretionary or not) where the beneficial owner (or one of the beneficial owners in the case of a joint account) is a person described in prong (a), (b), (c), (d), (e), (f) or (g). Under this interpretation, the term "US person" generally means that a foreign branch of a U.S. person would be covered by virtue of the fact that it is a part, or an extension of, a U.S. person.